

Exhibit 300: Capital Asset Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview & Summary Information

Date Investment First Submitted: 2009-06-30
Date of Last Change to Activities: 2012-08-24
Investment Auto Submission Date: 2012-02-29
Date of Last Investment Detail Update: 2011-09-21
Date of Last Exhibit 300A Update: 2012-08-24
Date of Last Revision: 2012-08-24

Agency: 024 - Department of Homeland Security **Bureau:** 30 - Citizenship and Immigration Services

Investment Part Code: 01

Investment Category: 00 - Agency Investments

1. Name of this Investment: USCIS - Immigration - CLAIMS 3.0

2. Unique Investment Identifier (Ull): 024-000003036

Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

CLAIMS 3 is a legacy case application tracking and processing system used for the adjudication of immigration benefits and services except naturalization. CLAIMS 3 is the primary source of customer information that is used to perform background checks, and conduct adjudication. CLAIMS 3 is used to assist in verifying and corroborating customer data through the use of business rules to ensure that the process is consistent with all applicable laws and regulations. "CLAIMS 3 will assist in closing performance gaps within USCIS for: benefit timeliness, data-sharing, and completing production reports to USCIS stakeholders." In FY2011, CLAIMS 3 was able to complete database migration to a more secure version; move 6 immigration forms to GUI interface; and migrate notification printing module into GUI. For FY2012, CLAIMS 3 will complete migration of immigration forms to GUI interface for processing. This will allow USCIS staff to adjudicate benefits more timely due to the expanded features within the application. For FY2013 includes: correcting Section 508 issues and enhanced interface with the ESB for data-sharing. This will provide a more user-friendly interface, which will increase benefit adjudication and timeliness. The enhanced ESB interface will assure that data-sharing activities are correct and timely. Each year, CLAIMS 3 will make updates as needed as policy and regulations change for immigration application processing. CLAIMS 3 allows USCIS to attain the following strategic objectives: ?Ensure that benefits are granted only to eligible applicants and petitioners; identify and

communicate immigration-related information to partners in support of DHS strategic goals; achieve and maintain timely processing of immigration benefits; provide information resources and services to appropriate individuals and entities; develop seamless, IT-supported processes that efficiently support immigration benefits adjudication and information sharing; and strengthen key management processes, systems, and administrative support activities, including our information technology infrastructure." The primary beneficiaries include: adjudicators, USCIS staff, and DHS agencies. External customers include: immigration customer, representatives, community organizations, and oversight agencies (e.g. USCIS Ombudsman). CLAIMS 3 does not have any dependencies with other investments to complete its mission or close known gaps.

2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.

CLAIMS 3 will assist in closing performance gaps within USCIS for: benefit timeliness, data-sharing, and completing production reports to USCIS stakeholders. This will be accomplished by the following: 1. CLAIMS 3 will migrate DOS-based modules to GUI-based based modules. This process began in FY11 and will continue into FY12. This will allow USCIS staff to more effectively and efficiently adjudicate immigration benefits and provide notification to customer more timely. 2. In FY11, CLAIMS migrated to a newer version of the database management system. In FY12, other USCIS applications will take advantage of this upgrade to provide better data sharing functionality by increaseing efficiency and integrity of the data. Additionally, this will allow for better data sharing with the "MyCaseStatus" feature on USCIS.GOV so customers are able to receive case status updates more quickly and with more accuracy. 3. The newer database management system will also increase integrity of the reports to USCIS stakeholders regarding immigration activities. It increases the integrity of the data and assures better connections with other USCIS applications which are used to generate the reports for USCIS stakeholders. If CLAIMS 3 is not fully funded, it will impede USCIS' ability to effectively and efficiently adjudicate immigration benefits. CLAIMS 3 LAN allows USCIS to process over 4 million benefits annually. It will also impede USCIS ability to close performance gaps as outlined above.

3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.

Updated interfaces to internal USCIS systems to provide more reliable data sharing and better security features. This included: Mainframe systems, Customer Relationship Interface System (CRIS), Lockbox, Refugee and Asylee Procesing Systems (RAPS), and CLAIMS 4 for Permanent Resident interview scheduling. Migrated six forms and Notice Production services to GUI which increased flexibility and security features. Implemented six table releases, including regular refresh of zip codes informaiton which is key for our field offices and ASC in scheduling the applicant interviews.

4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).

For FY2012, will complete migration from DOS-based modules to GUI-based modules. This

will allow more flexibility in applying new business rules as needed as well as increased security features for auditing, separation of duties and least privileges. In addition, regularly scheduled tables releases will be deployed bi-monthly table releases based on Business operation needs and monthly zip code updates based on USPS tables. For FY2013, will correct any outstanding user interface issues for Section 508 compliance. Complete analysis to determine which DBMS to migrate to for more robust features which meets DHS standards, such as Oracle or MS-SQL. This will assure that the database meets DHS security guidelines as well as providing more robust reporting capabilities, which closes a known gap for USCIS reporting needs. Lastly, update current biometric interface to use USCIS application CPMS. This will allow USCIS to have standard biometric capture/collection functionality among all applications.

- 5. Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

2011-08-10

Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	\$2.8	\$0.0	\$0.0	\$0.0
DME (Excluding Planning) Costs:	\$81.9	\$0.0	\$0.0	\$0.0
DME (Including Planning) Govt. FTEs:	\$0.0	\$0.0	\$0.0	\$0.0
Sub-Total DME (Including Govt. FTE):	\$84.7	0	0	0
O & M Costs:	\$88.7	\$5.7	\$5.8	\$5.9
O & M Govt. FTEs:	\$5.8	\$0.3	\$0.3	\$0.3
Sub-Total O & M Costs (Including Govt. FTE):	\$94.5	\$6.0	\$6.1	\$6.2
Total Cost (Including Govt. FTE):	\$179.2	\$6.0	\$6.1	\$6.2
Total Govt. FTE costs:	\$5.8	\$0.3	\$0.3	\$0.3
# of FTE rep by costs:	32	2	2	2
Total change from prior year final President's Budget (\$)		\$0.0	\$0.0	
Total change from prior year final President's Budget (%)		0.00%	0.00%	

2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:

Not applicable.

Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded	7003	HSSCCG11J00163	HSHQDC06D00021	7003							

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

Earned Value is required as part of the "HSHQDC06D00021/HSSCCG11J00163" contract.

Exhibit 300B: Performance Measurement Report

Section A: General Information

Date of Last Change to Activities: 2012-08-24

Section B: Project Execution Data

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
300	CLAIMS 3 - C&A	Correct known issues with Lockbox interface.			
330	CLAIMS MF DC Migration Deploy	Deploy applicationin DHS DC.			
360	CLAIMS 3 MF R.3.14	Rlease 3.14 iscope requirementsand design.			
390	CLAIMS 3 MFAS R1.6	Release 1.6 scope , plan design and deploy.			
400	CLAIMS 3 FD258	FD258 scope analyze design test and deploy.			
430	CLAIMS 3 ICMS R1.18	R1.18 Scope Planning, requirements, design and deploy.			
460	CLAIMS 3 CRISI R 4.9	R 4.9 Planning, Design, Testing and deploy.			
490	CLAIMS 3 FBASI R1.7	R1.7 Planning, requirements, testing & deploy.			
500	CLAIMS 3 BRU	CLAIMS 3 BRU Planning and decommissioning.			
530	CLAIMS 3 ICMS R1.19	R1.19 Planning, Requirements, Design, Develop Test and Deploy.			
560	CLAIMS 3 MF R3.15	R3.15 Planning Requirement			

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
		design develop test and deploy.			
590	CLAIMS 3 MFAS R1.7	R1.17 Plannning, requirements, design, develop test and deploy.			
600	CLAIMS 3 Quarterly Table Updates	Perform Q1, Q2, Q3 and Q4 Table updates.			
630	CLAIMS 3 DBA Support	Perform bi-annual DBA support B1 and B2.			
660	LAN R6.21 Testing & Deploy	Testing System Changes and Deploy system changes to user community.			
690	LAN R6.22-Development	Correct known issues with user interface and migrate to DC1.			
700	LAN R6.23	Planning, Requirements, Design, Development, Test and deploy.			
730	LAN R6.24	Planning, Requirements, Design, Development, Test and deploy.			
760	LAN R6.25	Planning, Requirements, Design, Development, Test and deploy.			
790	ICMS R1.17 - Testing	R1.17 Testing & Deploy.			
800	FBASEI R1.6-Development	Develop system changes based on design.			

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M)	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
300	CLAIMS 3 - C&A							
330	CLAIMS MF DC Migration Deploy							
360	CLAIMS 3 MF R.3.14							
390	CLAIMS 3 MFAS R1.6							

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M)	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
400	CLAIMS 3 FD258							
430	CLAIMS 3 ICMS R1.18							
460	CLAIMS 3 CRISI R 4.9							
490	CLAIMS 3 FBASI R1.7							
500	CLAIMS 3 BRU							
530	CLAIMS 3 ICMS R1.19							
560	CLAIMS 3 MF R3.15							
590	CLAIMS 3 MFAS R1.7							
600	CLAIMS 3 Quarterly Table Updates							
630	CLAIMS 3 DBA Support							
660	LAN R6.21 Testing & Deploy							
690	LAN R6.22-Development							
700	LAN R6.23							
730	LAN R6.24							
760	LAN R6.25							
790	ICMS R1.17 - Testing							
800	FBASEI R1.6-Development							

Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
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Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
300	CLAIMS 3 LAN Security Annual Assessment & POAM corrections - Planning	Plan assessment strategy	2011-03-23	2011-03-23	2011-03-25	18	-2	-11.11%
300	CLAIMS 3 LAN Security Annual Assessment & POAM corrections - Perform Assessment	Perform security assessment	2011-06-08	2011-06-08	2011-06-10	74	-2	-2.70%
330	CLAIM MF DC Migration - Test	Test applications in DHS DC	2011-08-27	2011-08-27	2011-08-27	62	0	0.00%
660	LAN R6.21 - Testing	Test system changes	2011-09-07	2011-09-07	2011-09-06	16	1	6.25%
790	ICMS R1.17 - Testing	Test system changes	2011-09-13	2011-09-13	2011-09-26	25	-13	-52.00%
800	FBASI R1.6 - Development	Develop system changes based on design	2011-09-23	2011-09-23	2011-09-30	35	-7	-20.00%
700	LAN R6.23 - Planning	Determine scope of release from outstanding issues	2011-10-02	2011-10-02	2012-02-24	26	-145	-557.69%
690	LAN R6.22 - Development	Develop system changes based on design	2011-10-07	2011-10-07	2012-02-09	109	-125	-114.68%
800	FBASI R1.6 - Testing	Test system changes	2011-10-27	2011-10-27	2011-10-26	28	1	3.57%
460	CLAIMS 3 CRISI R4.9 - Planning	Determine scope of release from outstanding issues	2011-10-28	2011-10-28	2011-11-01	17	-4	-23.53%
700	LAN R6.23 - Requirements	Determine requirements based on scope of release	2011-11-10	2011-11-10	2012-02-24	36	-106	-294.44%
460	CLAIMS 3 CRISI R4.9 - Requirements	Determine requirements based on scope of release	2011-11-18	2011-11-18	2011-11-14	18	4	22.22%
360	CLAIMS 3 MF R3.14 - Planning	Determine scope of release from outstanding issues	2011-11-23	2011-11-23	2011-11-22	43	1	2.33%
390	CLAIMS 3 MFAS R1.6 - Planning	Determine scope of release from	2011-11-23	2011-11-23	2011-12-21	43	-28	-65.12%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
		outstanding issues						
300	CLAIMS 3 MF Recertification - Planning	Plan assessment strategy	2011-11-25	2011-11-25	2011-10-13	18	43	238.89%
430	CLAIMS 3 ICMS R1.18 - Planning	Determine scope of release from outstanding issues	2011-12-02	2011-12-02	2011-10-17	18	46	255.56%
690	LAN R6.22 - Testing	Test system changes	2011-12-02	2011-12-02	2012-03-07	52	-96	-184.62%
460	CLAIMS 3 CRISI R4.9 - Design	Deisgn system changes based on scope and requirements definition	2011-12-16	2011-12-16	2011-12-22	25	-6	-24.00%
700	LAN R6.23 - Design	Deisgn system changes based on scope and requirements definition	2011-12-29	2011-12-29	2012-05-17	45	-140	-311.11%
300	CLAIMS 3 LAN Security Annual Assessment & POAM corrections - Complete Documents	Update Security documents as needed	2011-12-31	2011-12-31	2012-03-30	147	-90	-61.22%
430	CLAIMS 3 ICMS R1.18- Requirements	Determine requirements based on scope of release	2012-01-06	2012-01-06	2011-11-02	32	65	203.13%
490	CLAIMS 3 FBASI R1.7 - Planning	Determine scope of release from outstanding issues	2012-01-20	2012-01-20	2012-02-24	17	-35	-205.88%
460	CLAIMS 3 CRISI R4.9 - Development	Develop system changes based on design	2012-01-20	2012-01-20	2012-01-24	32	-4	-12.50%
360	CLAIMS 3 MF R3.14 - Requirements	Determine requirements based on scope of release	2012-01-27	2012-01-27	2012-01-12	60	15	25.00%
390	CLAIMS 3 MFAS R1.6 - Requirements	Determine requirements based on scope of release	2012-01-27	2012-01-27	2012-01-24	60	3	5.00%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
430	CLAIMS 3 ICMS R1.18- Design	Deisgn system changes based on scope and requirements definition	2012-02-03	2012-02-03	2011-11-18	25	77	308.00%
460	CLAIMS 3 CRISI R4.9 - Testing	Test system changes	2012-02-10	2012-02-10	2012-02-01	18	9	50.00%
490	CLAIMS 3 FBASI R1.7 - Requirements	Determine requirements based on scope of release	2012-02-17	2012-02-17	2012-02-24	24	-7	-29.17%
430	CLAIMS 3 ICMS R1.18 - Development	Develop system changes based on design	2012-03-09	2012-03-09	2012-02-23	32	15	46.88%
360	CLAIMS 3 MF R3.14 - Design	Deisgn system changes based on scope and requirements definition	2012-03-16	2012-03-16	2012-02-03	46	42	91.30%
390	CLAIMS 3 MFAS R1.6 - Design	Deisgn system changes based on scope and requirements definition	2012-03-16	2012-03-16	2012-02-28	46	17	36.96%
430	CLAIMS 3 ICMS R1.18 - Testing	Test system changes	2012-03-30	2012-03-30	2012-03-14	18	16	88.89%
300	CLAIMS 3 MF Recertification - Perform Assessment	Perform security assessment	2012-03-30	2012-03-30	2012-03-30	123	0	0.00%
530	CLAIMS 3 ICMS R1.19 - Planning	Determine scope of release from outstanding issues	2012-04-06	2012-04-06	2012-03-27	18	10	55.56%
360	CLAIMS 3 MF R3.14 - Development	Develop system changes based on design	2012-04-27	2012-04-27	2012-03-27	39	31	79.49%
530	CLAIMS 3 ICMS R1.19- Requirements	Determine requirements based on scope of release	2012-04-27	2012-04-27	2012-03-27	18	31	172.22%
390	CLAIMS 3 MFAS	Develop system	2012-04-27	2012-04-27	2012-05-03	39	-6	-15.38%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
	R1.6 - Development	changes based on design						
590	CLAIMS 3 MFAS R1.7 - Planning	Determine scope of release from outstanding issues	2012-05-11	2012-05-11	2012-06-29	18	-49	-272.22%
560	CLAIMS 3 MF R3.15 - Planning	Determine scope of release from outstanding issues	2012-05-11	2012-05-11	2012-06-29	18	-49	-272.22%
390	CLAIMS 3 MFAS R1.6 - Testing	Test system changes	2012-05-18	2012-05-18	2012-05-10	18	8	44.44%
360	CLAIMS 3 MF R3.14 - Testing	Test system changes	2012-05-18	2012-05-18	2012-05-18	18	0	0.00%
530	CLAIMS 3 ICMS R1.19- Design	Design system changes based on scope and requirements definition	2012-05-18	2012-05-18	2012-05-03	18	15	83.33%
490	CLAIMS 3 FBASI R1.7 - Design	Design system changes based on scope and requirements definition	2012-05-31	2012-05-31	2012-04-19	101	42	41.58%
560	CLAIMS 3 MF R3.15 - Requirements	Determine requirements based on scope of release	2012-06-01	2012-06-01		18	-91	-505.56%
590	CLAIMS 3 MFAS R1.7 - Requirements	Determine requirements based on scope of release	2012-06-01	2012-06-01		18	-91	-505.56%
300	CLAIMS 3 MF Recertification - Complete Documents	Update Security documents as needed	2012-06-08	2012-06-08	2012-06-08	25	0	0.00%
530	CLAIMS 3 ICMS R1.19 - Development	Develop system changes based on design	2012-06-15	2012-06-15	2012-05-03	25	43	172.00%
530	CLAIMS 3 ICMS R1.19- Testing	Test system changes	2012-07-13	2012-07-13	2012-05-10	25	64	256.00%
490	CLAIMS 3 FBASI R1.7 - Development	Develop system changes based on	2012-07-15	2012-07-15	2012-05-15	118	61	51.69%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
		design						
700	LAN R6.23 - Development	Develop system changes based on design	2012-07-27	2012-07-27		207	-35	-16.91%
730	LAN R6.24 - Planning	Determine scope of release from outstanding issues	2012-08-17	2012-08-17		47	-14	-29.79%
730	LAN R6.24 - Requirements	Determine requirements based on scope of release	2012-08-17	2012-08-17		47	-14	-29.79%
490	CLAIMS 3 FBASI R1.7 - Testing	Test system changes	2012-09-21	2012-09-21		52	0	0.00%

Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Percent of outstanding change requests completed	Percent	Technology - Effectiveness	Over target	20.000000	20.000000	50.000000	30.000000	Semi-Annual
Percent of new policies and regulations changes implemented by policy date to assure system can assist with increasing quality and tracking of decisions	Percent	Mission and Business Results - Services for Citizens	Over target	99.000000	99.000000	90.000000	99.000000	Semi-Annual
Percent of CLAIMS 3 service desk tickets closed within 72 hours	Percent	Customer Results - Customer Benefit	Over target	95.000000	95.000000	75.000000	95.000000	Monthly
Percent of Ad-Hoc customer requests completed by requester's completion date	Percent	Customer Results - Customer Benefit	Over target	95.000000	95.000000	99.000000	95.000000	Monthly
Percent of office realignments/requests from bussiness customers/field offices completed timely	Percent	Customer Results - Customer Benefit	Over target	98.000000	98.000000	100.000000	98.000000	Semi-Annual
Percent of Security POAMs corrected by date as detailed in the project plan	Percent	Process and Activities - Security and Privacy	Over target	95.000000	95.000000	100.000000	95.000000	Monthly
Percent of time CLAIMS 3 available to users during office hours	Percent	Technology - Reliability and Availability	Over target	98.000000	98.000000	98.500000	98.000000	Monthly
Percent of immigration	Percent	Customer Results -	Over target	80.000000	80.000000	85.000000	85.000000	Quarterly

Table II.C.1 Performance Metrics								
Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
benefit requests completed within average processing time guidelines		Timeliness and Responsiveness						